

State adoptions

Revised NAIC Suitability in Annuity Transactions model regulation

The following states have adopted revisions to their suitability regulation consistent with the revised NAIC Suitability in Annuity Transactions model regulation.

State	Effective Date	Product-specific training required (Y/N)	CE training required
Iowa	January 1, 2011	Y	4 hours
Colorado	April 1, 2011	Y	4 hours
Wisconsin	May 1, 2011	Y	4 hours
Rhode Island	June 1, 2011	Y	4 hours
District of Columbia	June 24, 2011	Y	4 hours
New York	June 30, 2011	Y	None (Note that CE may be required by other New York regulations.)
Indiana*	July 1, 2011	Y	4 hours
Ohio	July 1, 2011	Y	4 hours
Oregon	July 1, 2011	Y	4 hours
West Virginia	July 1, 2011	Y	4 hours
North Dakota	August 1, 2011	Y	4 hours
South Carolina	September 25, 2011	Y	4 hours
Maryland	November 1, 2011	Y	4 hours

*Indiana did not adopt the revised NAIC Suitability in Annuity Transactions model regulation, but instead added a section to its Insurance code that requires product-specific training provided by insurance carriers and the completion of a single four-hour annuity CE training course.

Training requirements may vary by state depending on a particular state's modifications to the model regulation prior to its adoption. Please refer to your state's Department of Insurance for specific training requirements.

For more information, please contact the Securian Annuity Sales Desk: 1-866-335-7355

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